Welcome to EMUG 2017 BOSTON

Aries systems

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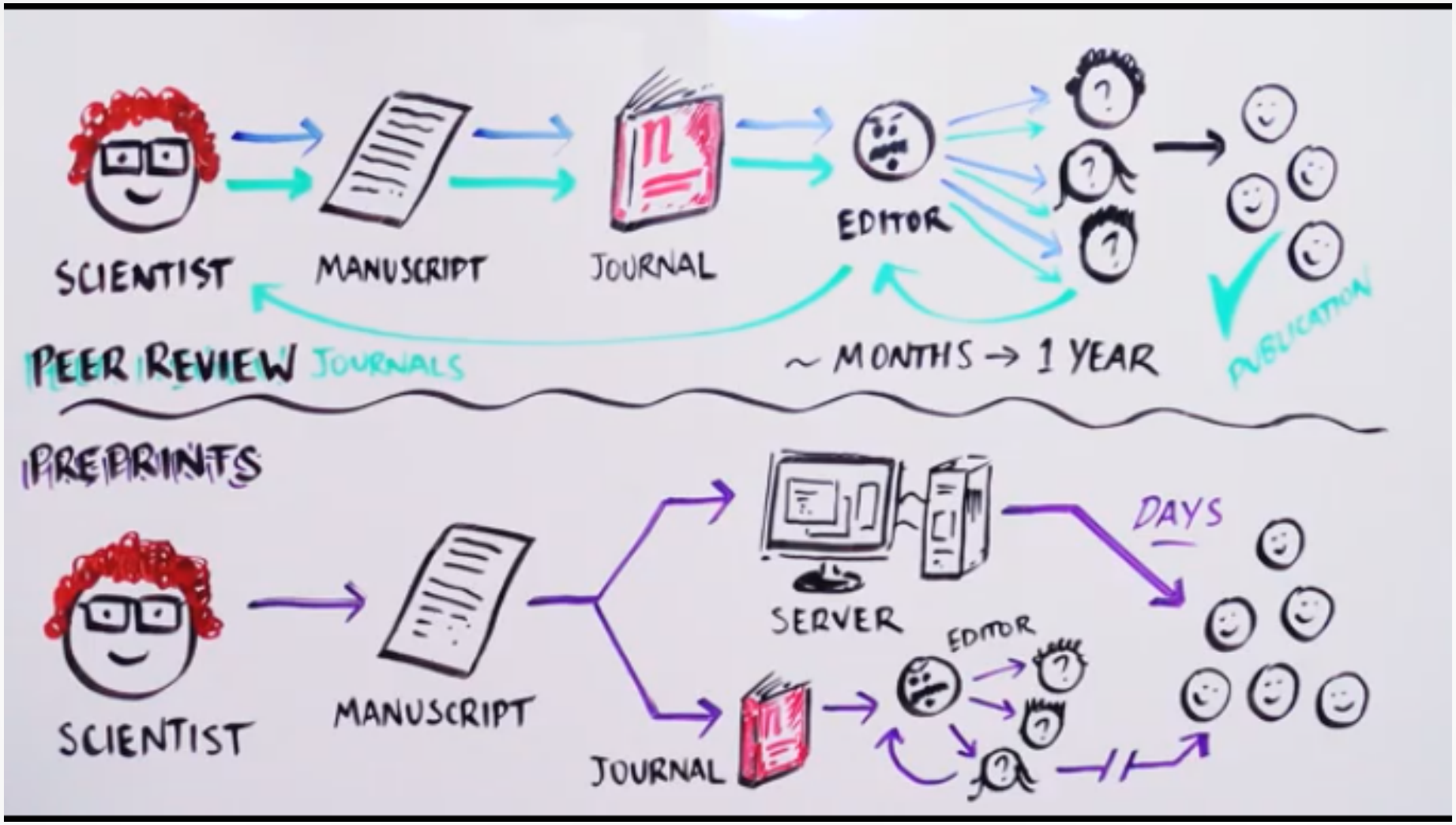
pm ProduXion Manager®

Working with Preprint Servers

Sally Ubnoske, Senior Business Systems Analyst, subnoske@ariessys.com

Traditional Peer Review vs Preprints



What is a Preprint?

*In academic publishing, a **preprint** is a version of a scholarly or scientific paper that precedes publication in a peer-reviewed scholarly or scientific journal. The preprint may persist, often as a non-typeset version available free, after a paper is published in a journal.*

Preprint. (2017, May 02). Retrieved May 02, 2017, from https://en.Wikipedia.org/wiki/Preprint

What is a Preprint?

- A complete scientific manuscript uploaded by the authors to a public server
- Contains complete data and methodologies
- Can be the same manuscript being submitted to a journal; can have a preprint DOI
- Following brief QA, posted on the web without peer review
- Can be viewed by anyone in the world
- Allows scientists to directly control the dissemination of their work to the world-wide scientific community
- Can be confused with open peer review and post-publication peer review

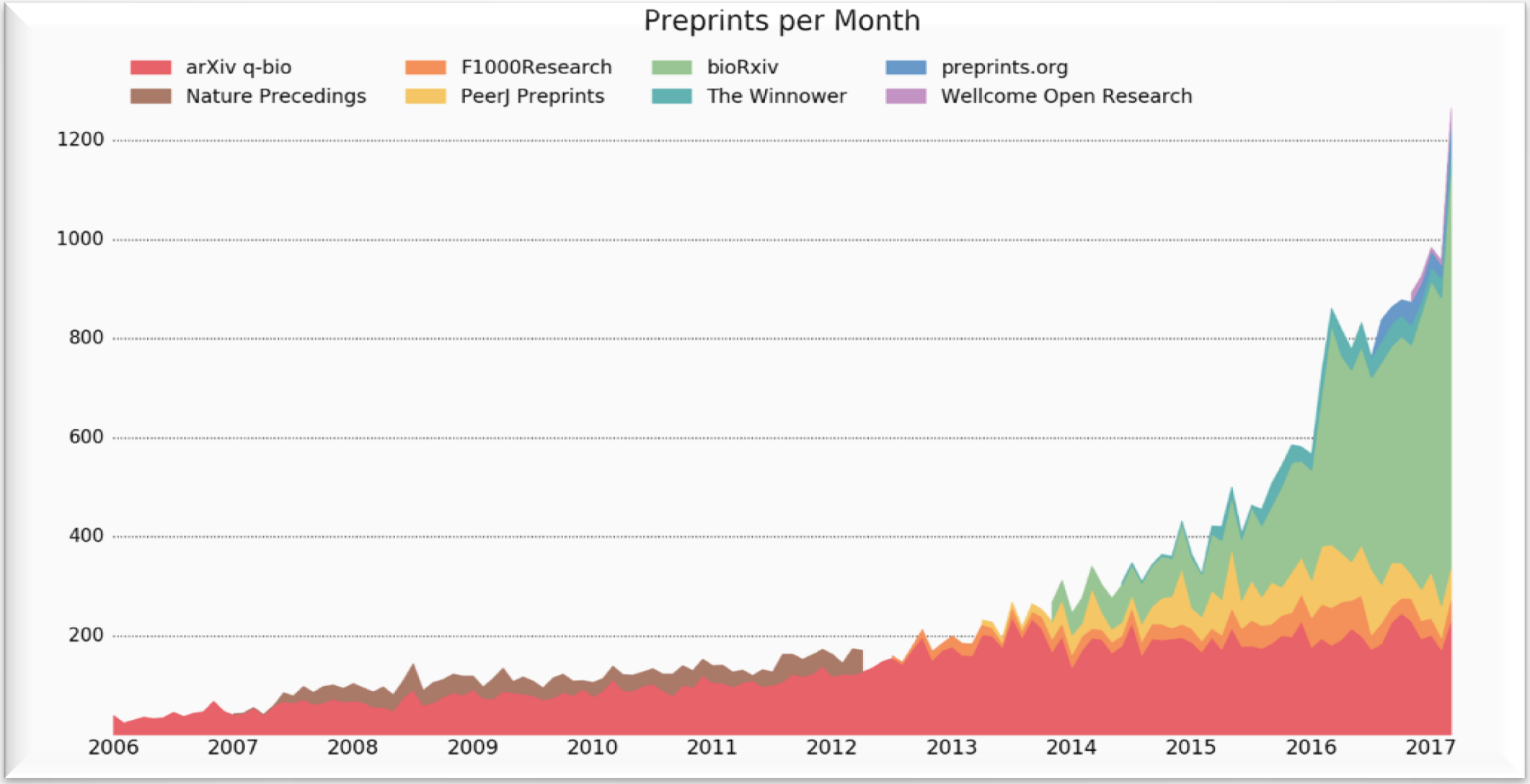
Preprint info center. (n.d.). Retrieved May 02, 2017, from http://asapbio.org/preprint-info

Traditional Peer Review vs Preprints

- Months vs Days
- Post-review vs Pre-review
- May or may not be considered for tenure
- Delay vs immediate access to new findings

- What do preprints mean for the version of record?
- What is the impact on libraries and repositories?
- Do preprints provide solution for publication of negative results?
- When will institutions recognize preprints as part of an author's body of work?

Biology Preprints over Time



A Plethora of Preprint Servers!

John Inglis

Co-founder of bioRxiv, Cold Spring Harbor Laboratory's preprint service for the life sciences

Jennifer Kemp

Member & Community Outreach | Crossref

Preprints at Crossref

Jennifer Kemp
@SaysJKemp
jkemp@crossref.org

EMUG, Boston, June 2017

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crossref
The central source for reference linking
The world's leading scientific, technical, and medical publishers are collaborating to form CrossRef, a service that will link reference citations to the online content that those references cite, typically located on a different server and published by a different publisher. CrossRef is scheduled to launch during the first quarter of 2000.
At the outset, more than three million articles across thousands of journals will be linked through CrossRef, and more than half a million more articles will be linked each year thereafter. Such linking will enhance the efficiency of browsing and reading the primary scientific and scholarly literature. It will enable readers to gain access to logically related articles with one or two clicks -- an objective widely accepted among researchers as a natural and necessary part of scientific and scholarly publishing in the digital age.
CrossRef will operate behind-the-scenes by enabling member publishers to add reference links to their online journals. Users of the online journals will see, click on, and follow the links directly to the content; there will be no visible CrossRef interface. CrossRef will be run from a central facility operated by Publishers International Linking Association, Inc. (PILA), a nonprofit organization jointly formed by its member publishers, and will utilize the Digital Object Identifier (DOI) to ensure permanent links.
This Web site, which is under construction, has been posted for informational purposes. We hope you will check it often in the coming months to learn more about our developing service.
© 2000 PILA, Inc.

~ 1999-2000

“Cannot assign Crossref DOIs to duplicative works”

Except for….

Reprints

Translations

Exceptional manifestations

“publish ahead of print”
“online ahead of print”
“article in progress”
“article in press”
“online first”
etc.

Which basically left preprints…

“Cannot assign Crossref DOIs to duplicative works”

www.doi.org/registration_agencies.html

HOME | HANDBOOK | FACTSHEETS | FAQs | RESOURCES | REGISTRATION AGENCIES | NEWS | MEMBERS AREA

DOI Registration Agencies

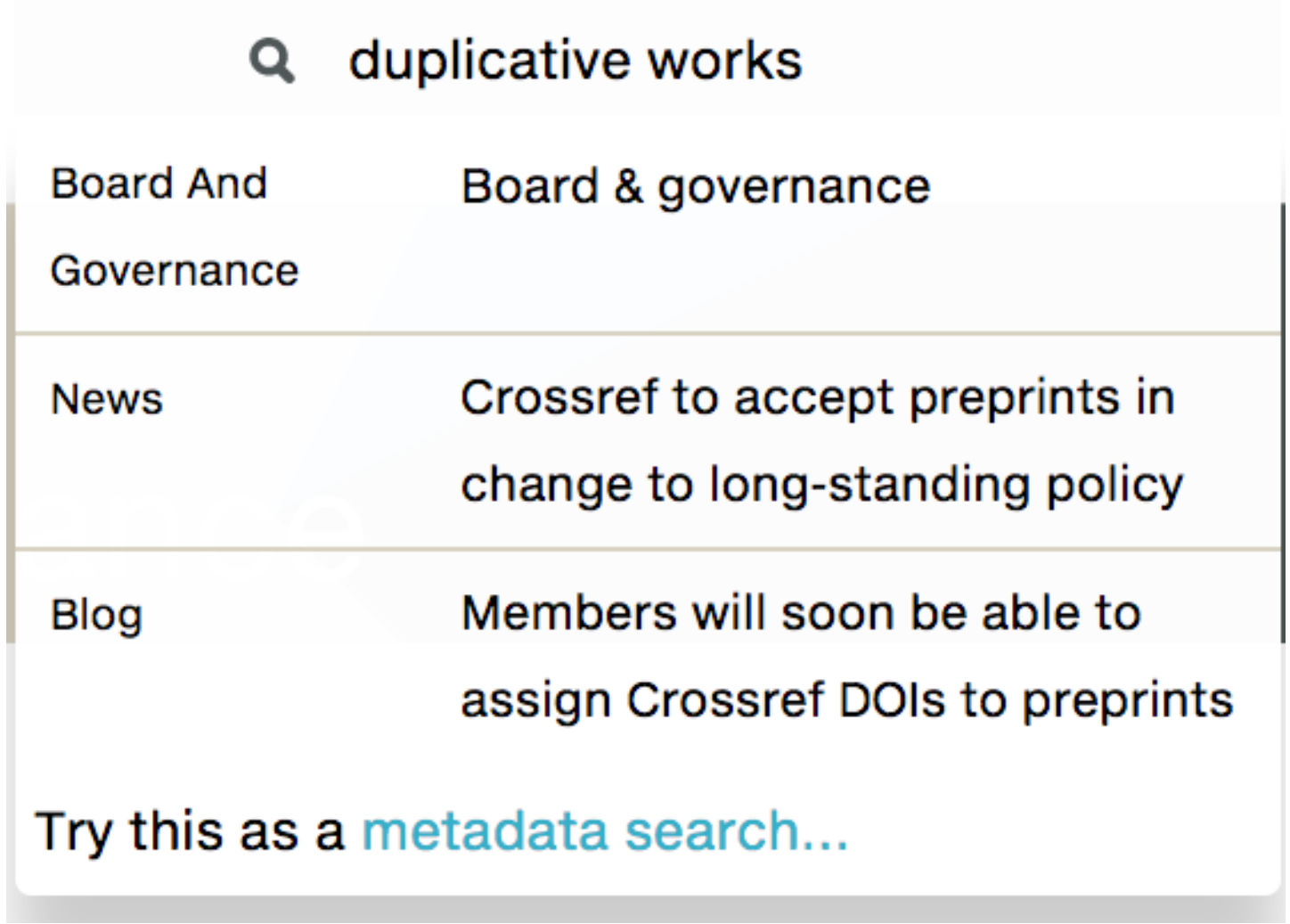
Current Registration Agencies are listed below, with links to the organizations for requesting more information. New Registration Agencies are being added, and suggestions for additional sector coverage are welcome.

See also a chart showing the areas of coverage provided by each RA, and some examples of services provided by several of the RAs.

Airiti, Inc.	Crossref
China National Knowledge Infrastructure (CNKI)	DataCite
EIDR (Entertainment Identifier Registry)	ISTIC (The Institute of Scientific and Technical Information of China)
JaLC (Japan Link Center)	Korea Institute of Science and Technology Information (KISTI)
mEDRA (Multilingual European DOI Registration Agency)	OP (Publications Office of the European Union)

The primary role of Registration Agencies (RAs) is to provide services to Registrants – allocating DOI name prefixes, registering DOI names and providing the necessary infrastructure to allow Registrants to declare and maintain metadata and state data. This service is expected to encompass quality assurance measures, so that the integrity of the DOI system as a whole is maintained at the highest possible level (delivering reliable and consistent results to users). This includes ensuring that state data is accurate and up-to-date and that metadata is consistent and complies with both DOI system Kernel and appropriate Application Profile standards.

Fast-forward to July 2016


duplicative works
Board And Governance
Board & governance
News
Crossref to accept preprints in change to long-standing policy
Blog
Members will soon be able to assign Crossref DOIs to preprints
Try this as a metadata search...

Today at Crossref

It's all about relations:

relationships in the metadata connect up the full story of the research

News

02 November 2016

Crossref now accepts preprints

Crossref completes infrastructure to support DOIs for preprints, and linking to best available version

Crossref, the not-for-profit member organization for publishers, announced today that it is now accepting the registration of Crossref DOIs for preprints. The key decision is to acknowledge that preprints are a valuable part of the research story, and ensure that authors' own or institutional repository versions can be linked up with any eventual version on a publisher's site.

In July 2015, the Crossref board voted to change its membership rules to reflect inclusion of "pre-publication" content. Crossref already accepted working papers and technical reports.

In 2016, Crossref has been implementing changes to its systems to accept preprints. This will clearly identify them, and ensure they can be linked to the accepted manuscript.

This work will also ensure:

Website feedback

Articles in this section

Metadata and content type overview

Journals and journal articles

Journal title management

Authors and editors

License metadata (Access Indicators)

NLM / JATS abstracts

Relationships between DOIs and other objects

Posted content (includes preprints)

- What is posted content?
- Associating posted content with published content
- Policies for posted content
- Depositing and updating posted content
- Posted content and cited-by matches
- Examples

What is posted content?

This content consists of preprints, eprints, working papers, reports, dissertations, and many other types of content that has been posted but not formally published. Note that "accepted manuscripts" are not considered posted content.

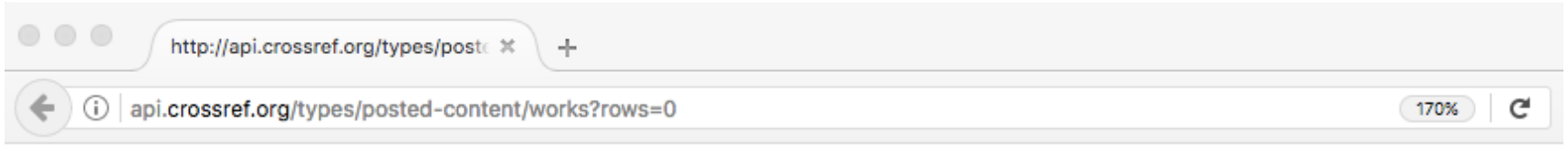
To qualify as posted content, an item must be posted to a host platform where it will receive some level of stewardship. We're all about persistence, so it's vital that everything registered with us be maintained.

preprints must be clearly labeled as such

preprints must link to published version DOI if/when there is one available

(we send a notification of any matches)

later published versions may link to preprint version

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    message-version: "1.0.0",
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        facets: { },
        items-per-page: 0,
      ▼ query: {
            start-index: 0,
            search-terms: null
        },
        total-results: 13352,
        items: [ ]
    }
}
```

Thank you

Jennifer Kemp
@SaysJKemp
jkemp@crossref.org

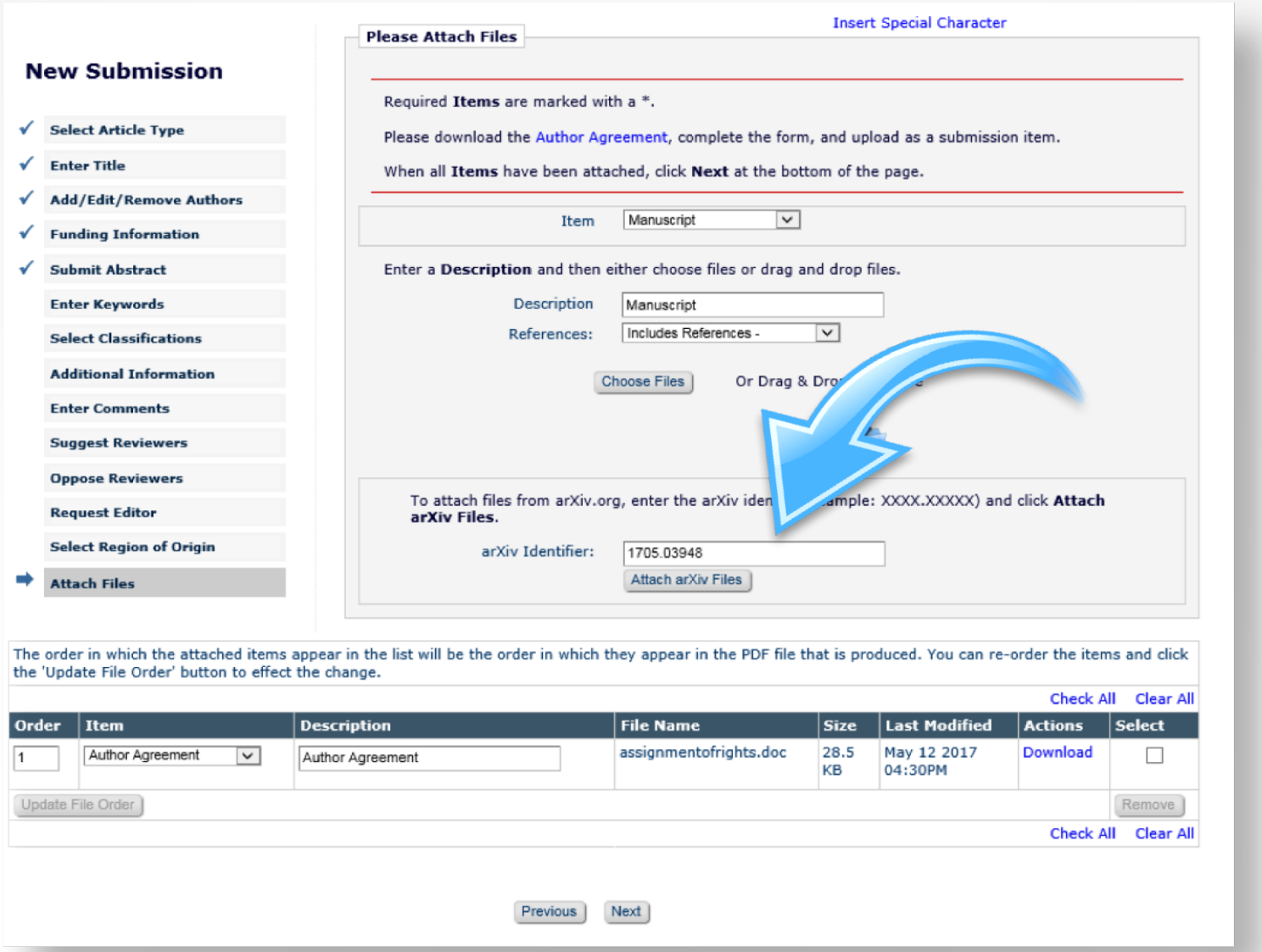
Preprints in EM/PM

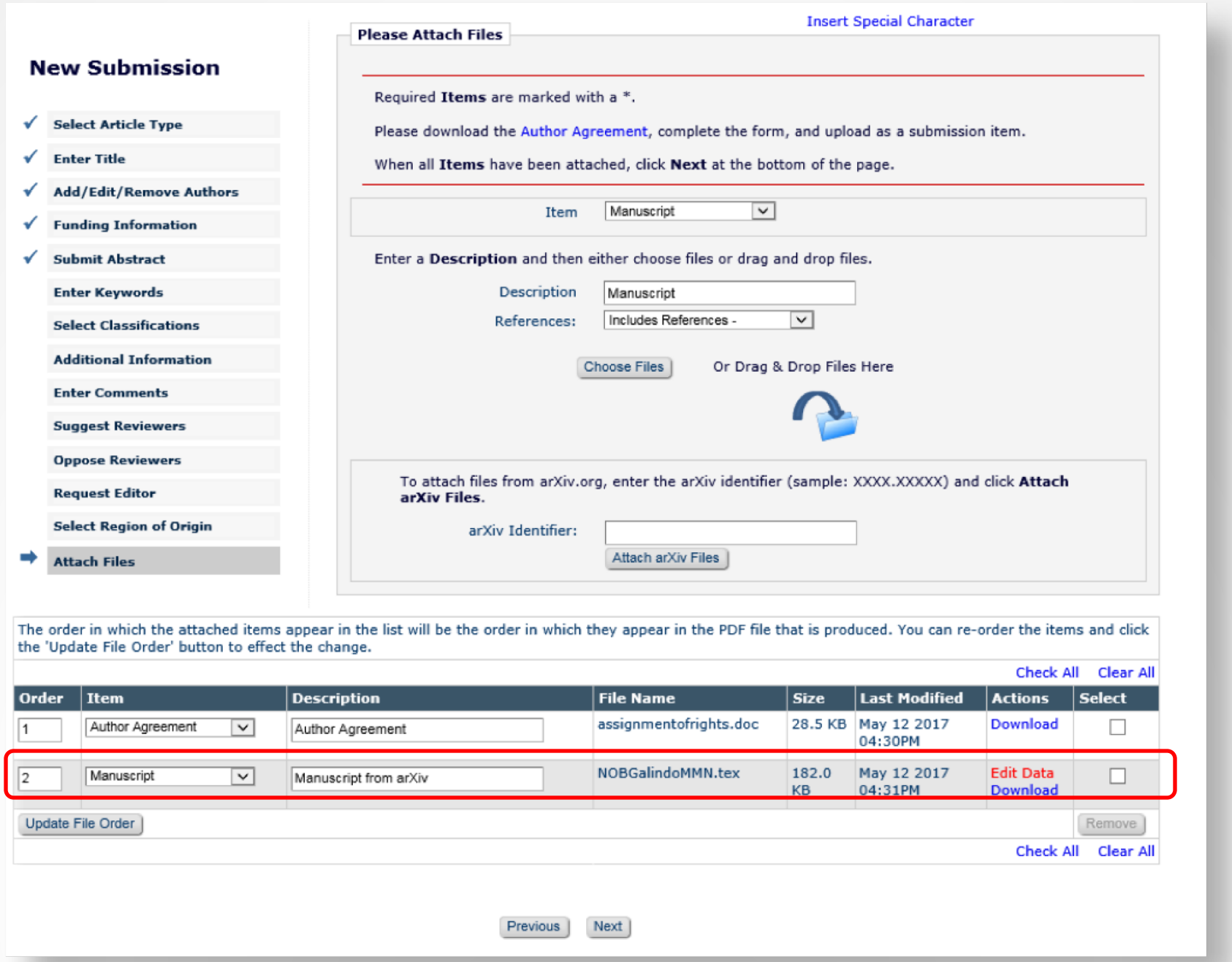
Upload from arXiv.org | Preprint DOIs | Transmit to a Preprint Server

Upload from arXiv.org

For Article Types configured to “Allow file uploads from arXiv.org server”

The Author enters the arXiv Identifier and clicks “Attach arXiv Files”




New Submission
Select Article Type
Enter Title
Add/Edit/Remove Authors
Funding Information
Submit Abstract
Enter Keywords
Select Classifications
Additional Information
Enter Comments
Suggest Reviewers
Oppose Reviewers
Request Editor
Select Region of Origin
Attach Files
Insert Special Character
Please Attach Files
Required Items are marked with a *.
Please download the Author Agreement, complete the form, and upload as a submission item.
When all Items have been attached, click Next at the bottom of the page.
Item
Manuscript
Enter a Description and then either choose files or drag and drop files.
Description
Manuscript
References:
Includes References -
Choose Files
Or Drag & Drop Files Here
To attach files from arXiv.org, enter the arXiv identifier (sample: XXXX.XXXXX) and click Attach arXiv Files.
arXiv Identifier:
Attach arXiv Files
The order in which the attached items appear in the list will be the order in which they appear in the PDF file that is produced. You can re-order the items and click the 'Update File Order' button to effect the change.
Check All
Clear All
Order
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Description
File Name
Size
Last Modified
Actions
Select
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Author Agreement
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NOBGalindoMMN.tex
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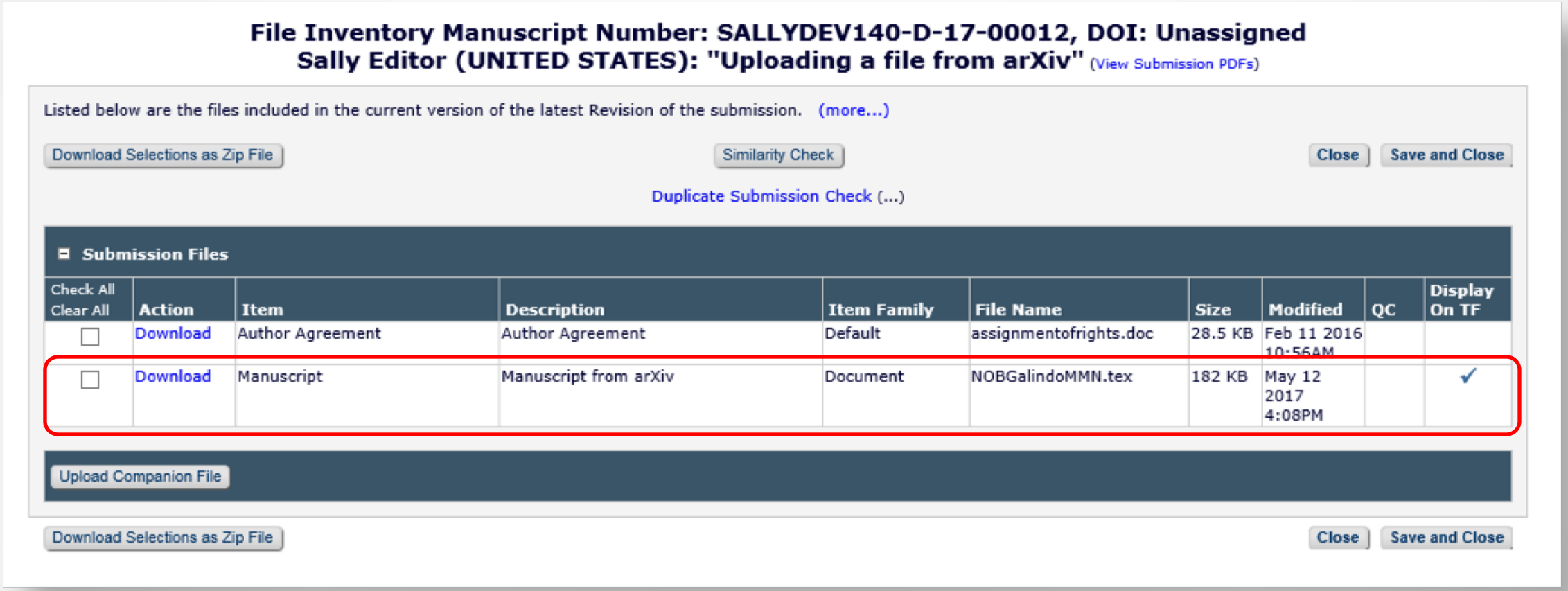
The File is Uploaded and Added to the File Inventory



File Inventory Manuscript Number: SALLYDEV140-D-17-00012, DOI: Unassigned
Sally Editor (UNITED STATES): "Uploading a file from arXiv" (View Submission PDFs)

Listed below are the files included in the current version of the latest Revision of the submission. (more...)

Download Selections as Zip File | Similarity Check | Close | Save and Close

Duplicate Submission Check (...)

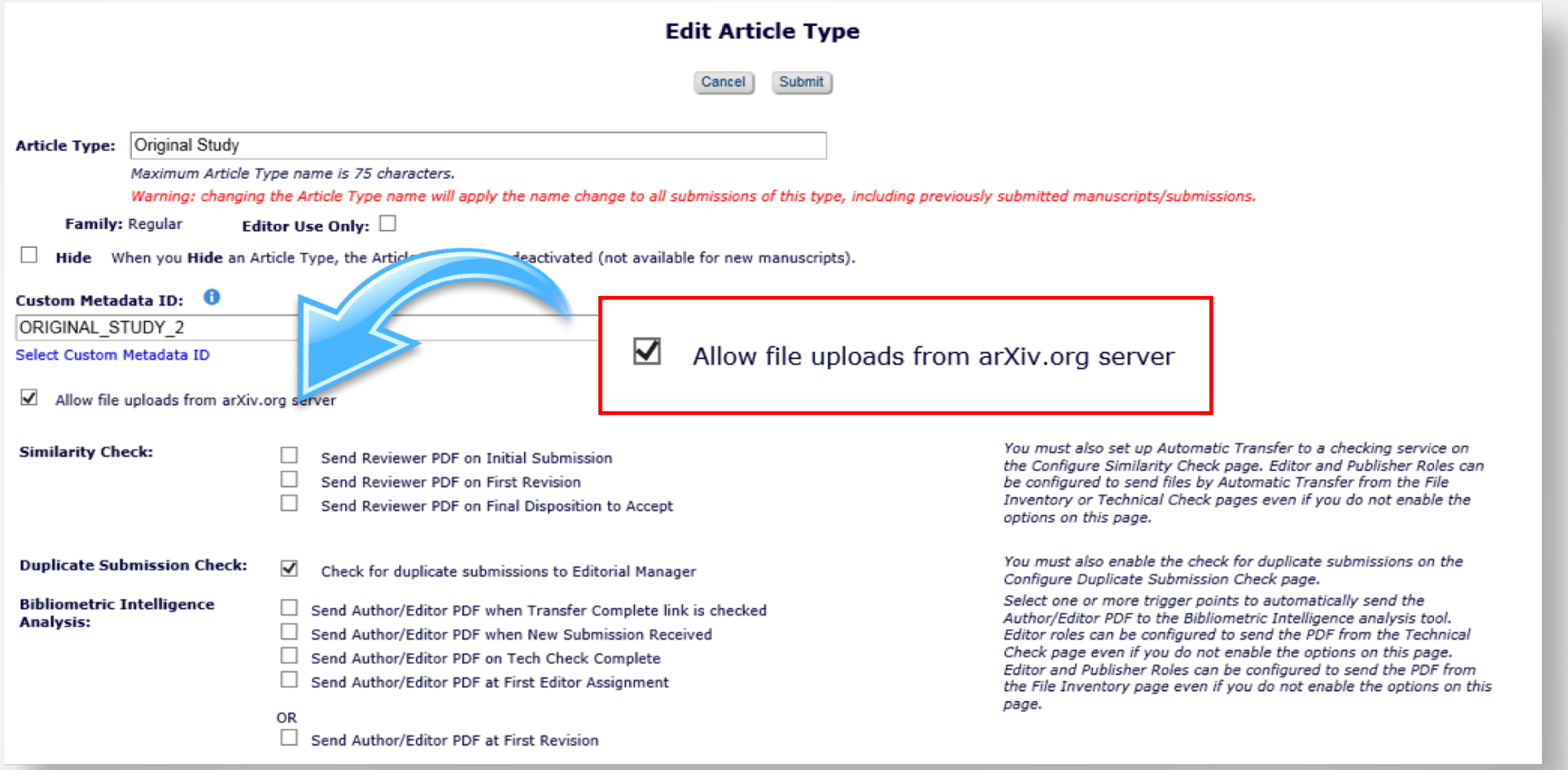
Submission Files

Check All Clear All	Action	Item	Description	Item Family	File Name	Size	Modified	QC	Display On TF
☐	Download	Author Agreement	Author Agreement	Default	assignmentofrights.doc	28.5 KB	Feb 11 2016 10:56AM		
☐	Download	Manuscript	Manuscript from arXiv	Document	NOBGalindoMMN.tex	182 KB	May 12 2017 4:08PM		✓

Upload Companion File

Download Selections as Zip File | Close | Save and Close

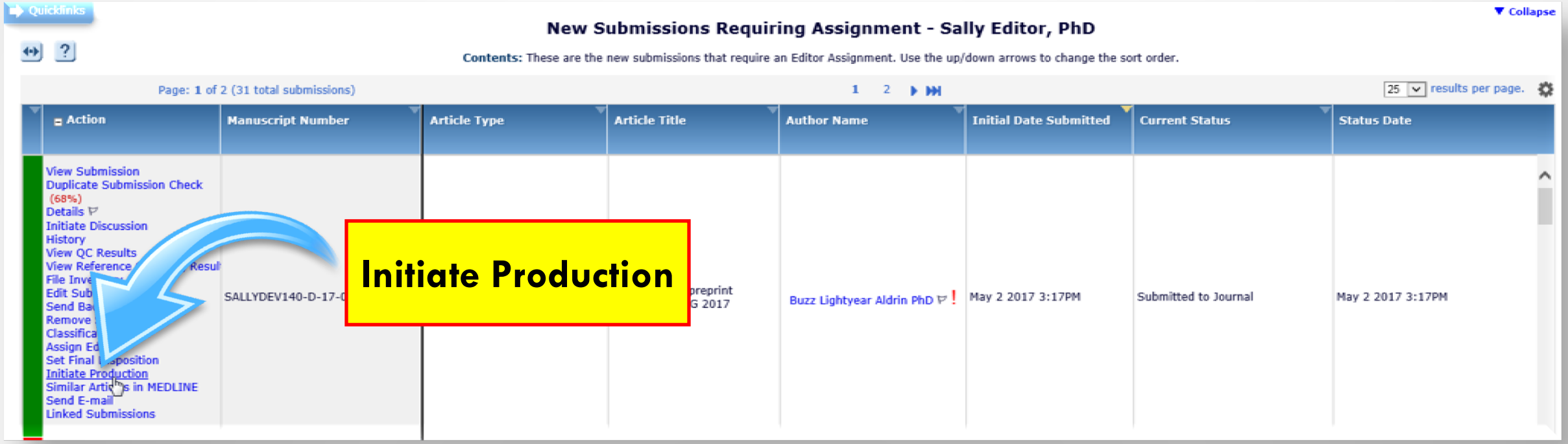
Configure on the *Edit Article Type* Page



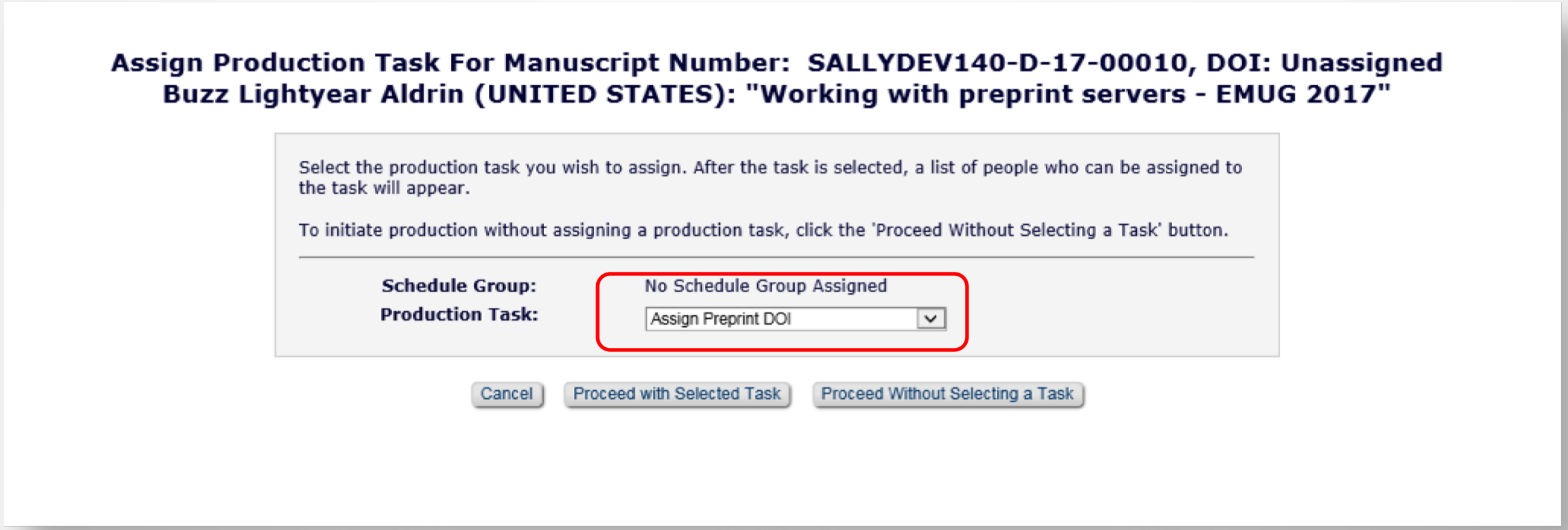
Assigning a Preprint DOI in EM/PM

For publications configured for ProduXion Manager

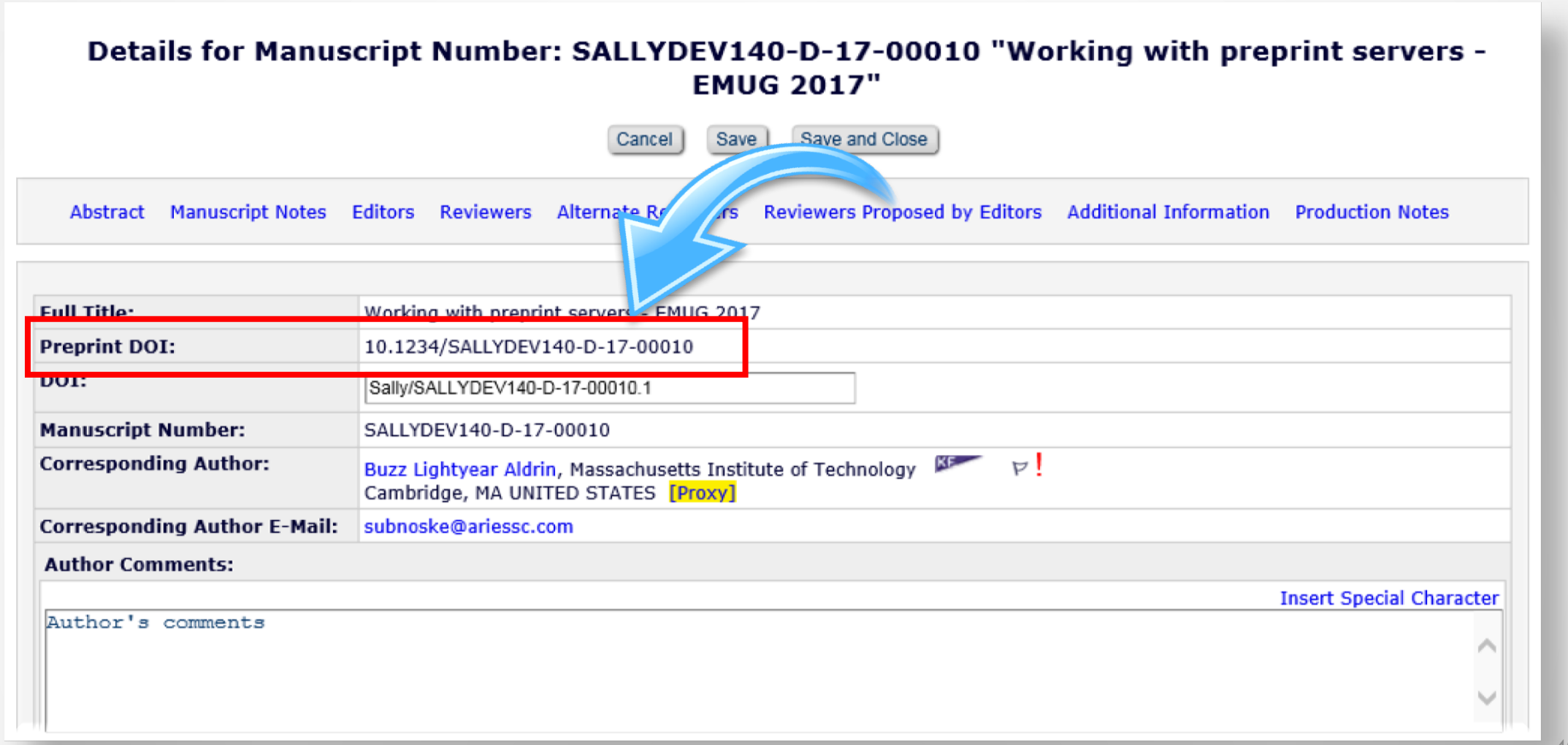
Assign Preprint DOI as a Production Task



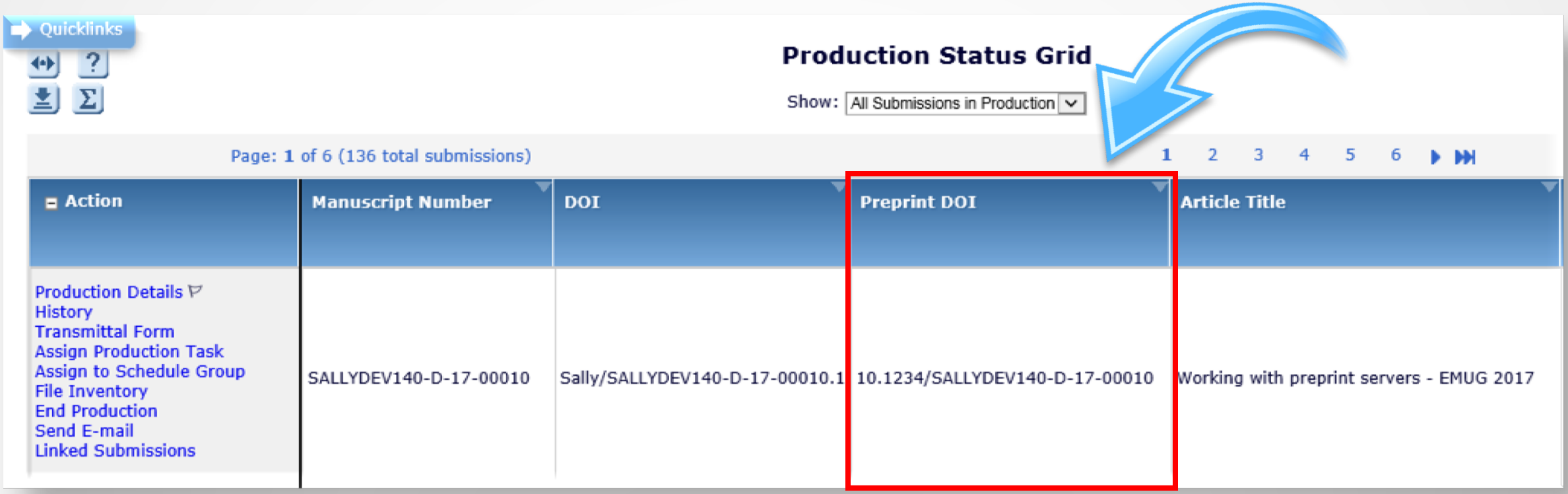
Assign Preprint DOI as a Production Task



Display on Custom Details page



Display on Production Status Grid



Select the "Display the Preprint DOI" checkbox on the PolicyManager Configure Identifiers Displayed in Production Tracking page.

Transmit Preprint DOI as a Production Task

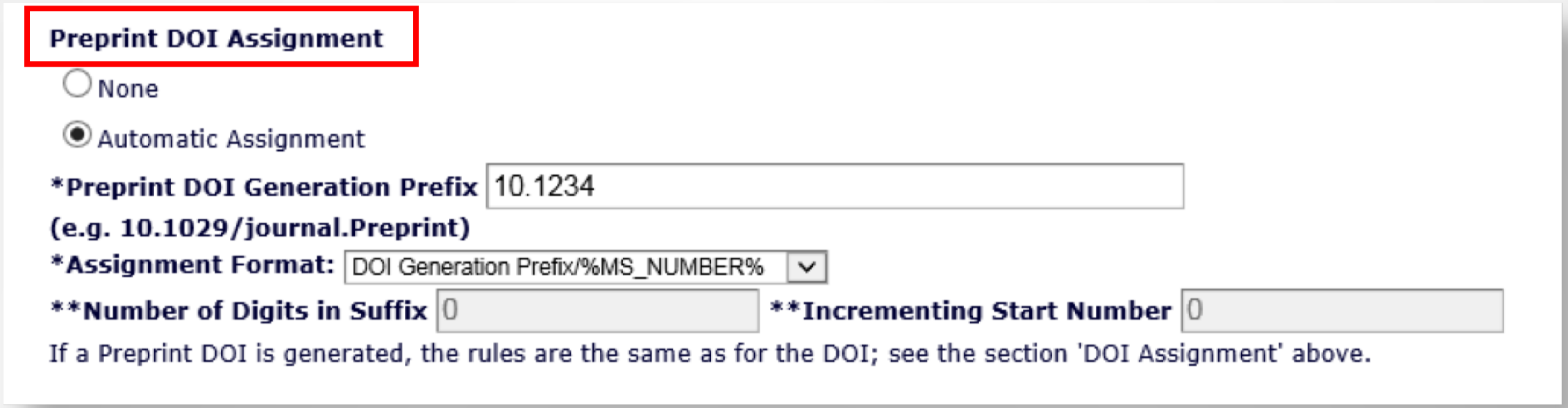
For publications configured for ProduXion Manager

XML Tagging for Production Task Transmittals

Production Task Exports

- JATS 1.0A
- JATS 1.1A
- JATS 1.1A V2

Preprint DOI Configuration (behind the scenes!)



The Preprint DOI feature **must be enabled by the Aries Account Coordinator**

- 'None' or 'Automatic Assignment'
- Enter Preprint DOI Generation Prefix
- Select 'Assignment Format'
- Enter 'Number of Digits in Suffix' and 'Incrementing Starting Number'

Edit Submission Production Task

Cancel Submit

Insert Special Character

New Production Task Name: Assign Preprint DOI

Maximum Production Task Name is 100 characters

FTP Options

Submission Production Task Transmittal Method: JATS 1.1A Production Task Export

- [x] Allow task assignment and FTP without Assignment Files
- [ ] Include Aries Import XML file in transmittal
- [ ] FTP task without go.xml file
- [x] Use Manuscript Number for file names

FTP Server address (e.g. ftp.ariessys.com): FTP Server

Username: Username

Password: Password

Subdirectory: Sally

E-mail Address (for transmittal notification): subnoske@ariessys.com

Submission Production Task Import Profile: None

E-mail Address (for import notification):

- [ ] XML file is required

Select Fields for Import

Edit Submission Production Task

Cancel Submit

Insert Special Character

New Production Task Name: Assign Preprint DOI

Maximum Production Task Name is 100 characters

☐ **Hide** When you **Hide** a Production Task Name, the Production Task Name will be deactivated (not available for assignment).

Assignment Options

Automatic Assignment can be triggered by the actions listed below. Because this process occurs automatically you must select a specific user to be identified as the assignor and a specific user to receive the task. You should also configure one or more File types to be automatically selected, unless this task is not used to process files.

☐ Assign Automatically when Production is initiated (if this is the first task in the workflow)

☐ Assign Automatically when all Trigger Tasks are completed (if configured in the workflow)

Preprint DOI Options

If 'Assign Preprint DOI' is enabled, when this task is assigned, the system will automatically generate and assign a Preprint DOI to the submission if it does not already have one.

☑ Assign Preprint DOI

If a submission has a Preprint DOI, the value will be transmitted with the task assignment if the Submission Production Task Transmittal Method selected in the FTP Options section above is based on JATS.

Cancel Submit

Things to Remember!

- Authors can upload a manuscript from a Preprint Server
- Submissions can be transmitted to a Preprint Server (if they accept JATS)
- Preprint DOI is unique and can be assigned ONCE via a Submission Production Task
- Preprint DOI can be assigned Preprint DOI can be written to the XML file
- Preprint DOIs can be displayed on custom *Details* pages, the *Transmittal Form* page, and the *Production Status Grid* page
- Preprint DOIs are transferred for EM-to-EM and EM-to-non-EM transfers

Thank you!