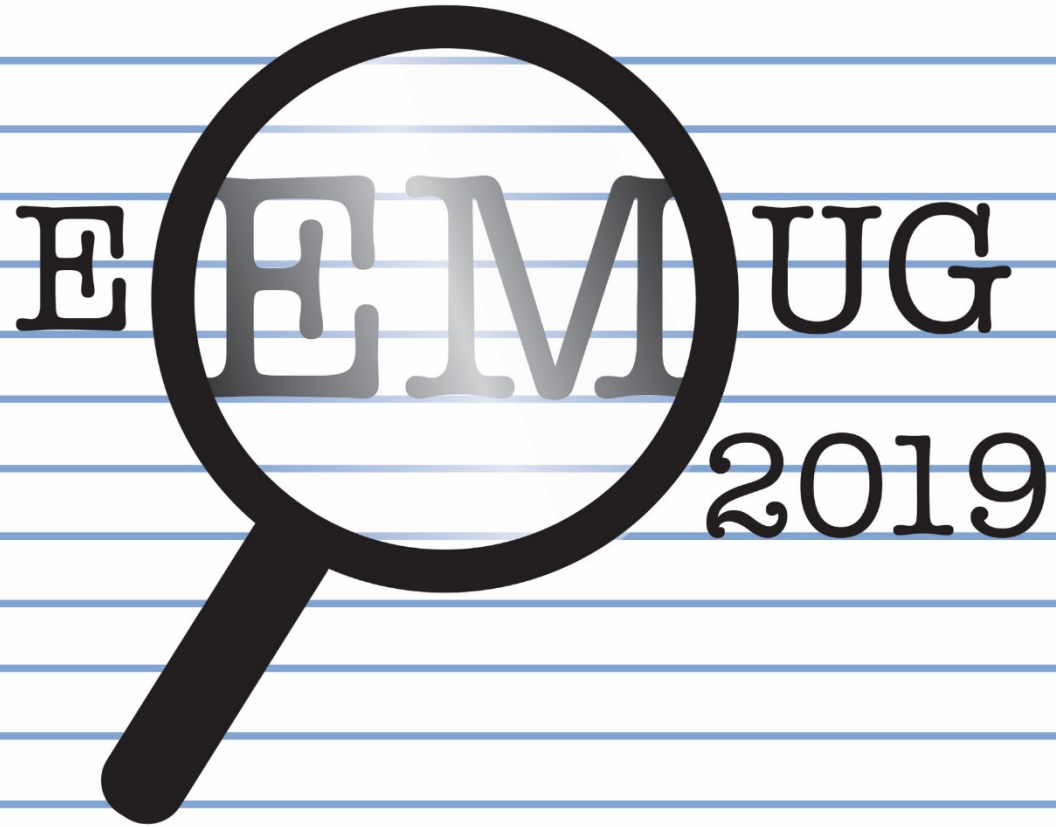


Welcome to



#EEMUG2019



# Preprint Transfers

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# What is a Preprint?

- A complete scientific manuscript uploaded by the authors to a public server
- Contains complete data and methodologies
- Can be the same manuscript being submitted to a journal; can have a preprint DOI
- Following brief QA, posted on the web without peer review
- Can be viewed by anyone in the world
- Allows scientists to directly control the dissemination of their work to the world-wide scientific community
- Can be confused with open peer review and post-publication peer review



# Traditional Peer Review vs Preprints

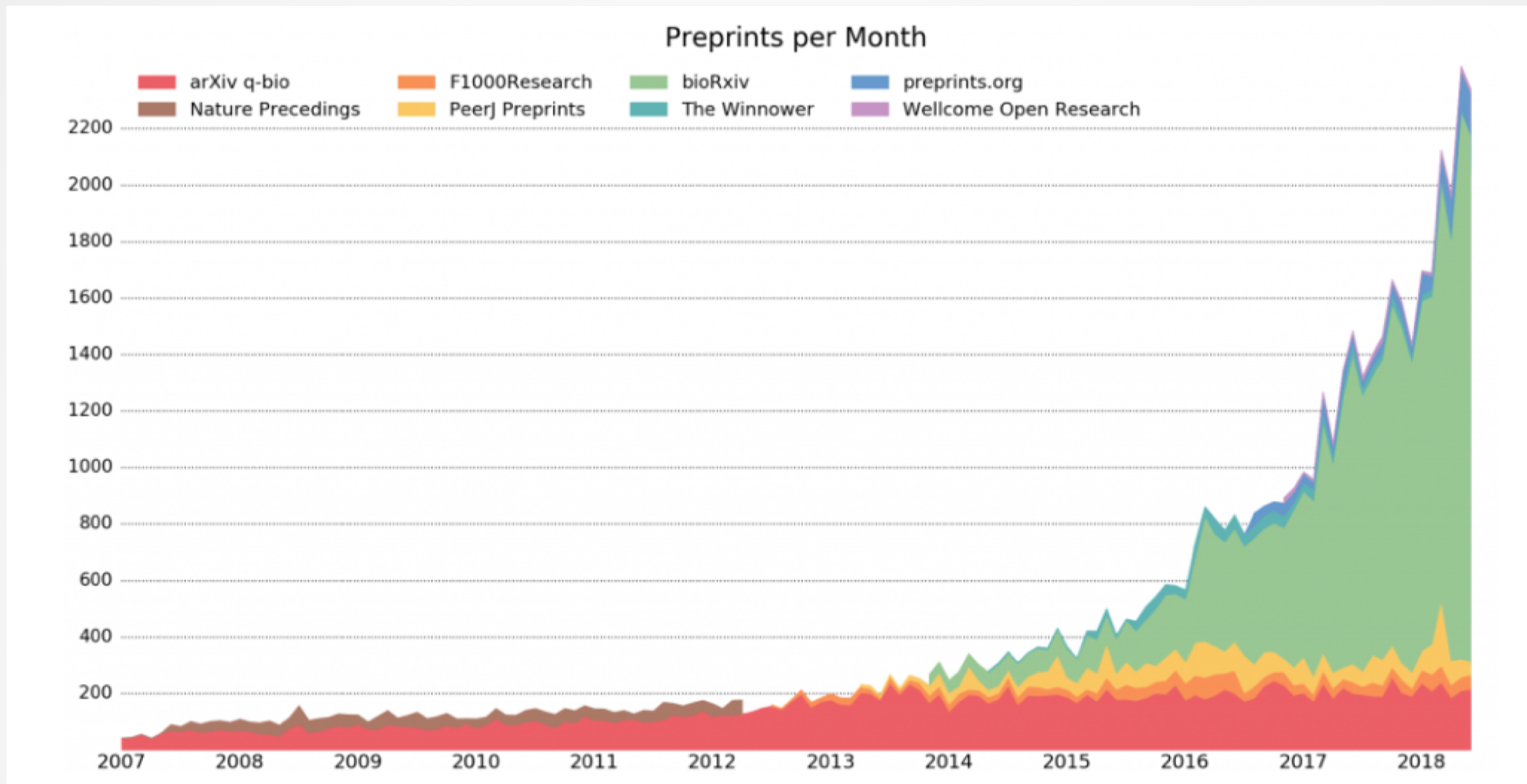
- Months vs Days
- Post-review vs Pre-review
- May or may not be considered for tenure
- Delay vs immediate access to new findings



# Open Questions about Preprints

- What do preprints mean for the version of record?
- What is the impact on libraries and repositories?
- Do preprints provide solution for publication of negative results?
- When will institutions recognize preprints as part of an author's body of work?

# Biology Preprints over Time



Biology preprints over time. (n.d.). Retrieved January 3, 2019, from <https://asapbio.org/preprint-info/biology-preprints-over-time>

# New Senior Authors per Month – November 2018



# Scholarly Publications and Preprint Servers

- Scholarly journals interact with preprint servers in two ways:
  - Journals can automatically receive manuscripts that were previously submitted to preprint servers
    - Facilitated by EM Ingest
  - Journals can push submitted manuscripts from EM directly to preprint servers
    - Facilitated by Editorial Tasks





# PPS2EM – Preprint Server to EM

Import Metadata and Files from Preprint Servers



# EM Ingest from a Preprint Server

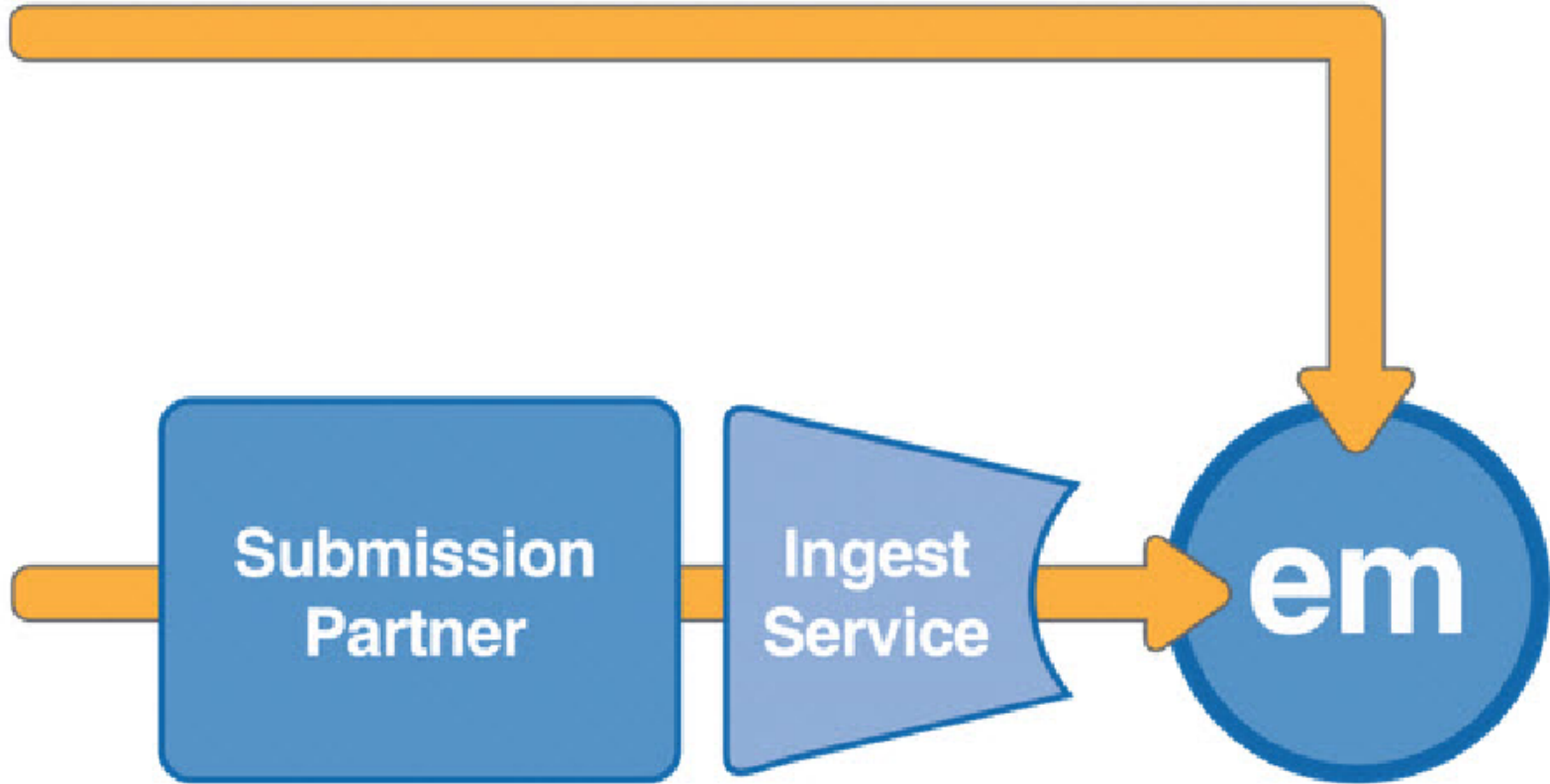
- Authors can make their research available and receive feedback before peer review
- After posting on a preprint server authors can submit to a journal for peer review
- EM Ingests files and JATS XML from third-party vendors, including preprint servers
- EM Ingest streamlines the transfer of author manuscripts and clean metadata





## OPTION 1

Author submits directly to journal



## OPTION 2

Author submits via a Submission Partner

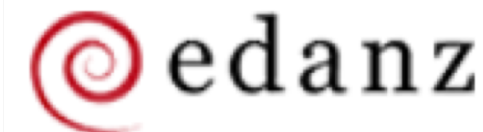
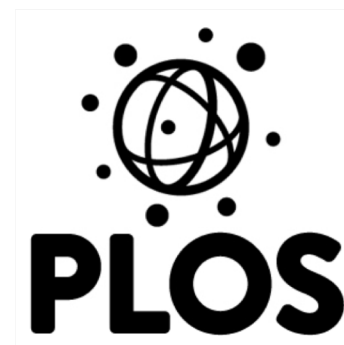


### EXAMPLES

- Language editing service
- Preprint server
- Journal selection service
- Publisher branded portal
- Collaborative authoring tool

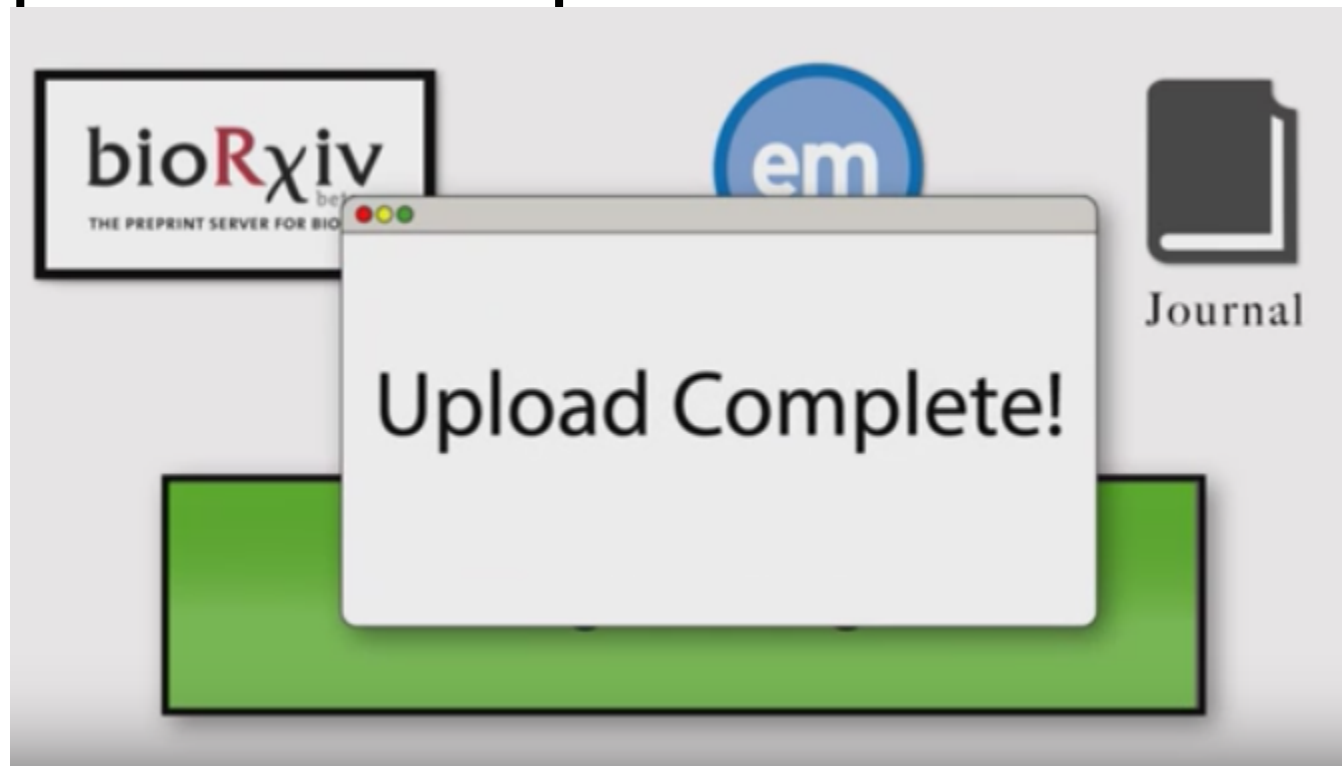
Files and JATS XML

# Ingest Partners



# Benefits to Authors

- Minimizes the need to:
  - Re-key data
  - Re-upload manuscript files



# EM Preprint Ingest Features

- Editor Main Menu Folders
- Success / Failure Notifications
- Metadata Ingest
- Option to automatically mark as “Transfer Complete” and progress into peer-review workflow when the PDF is built and approved

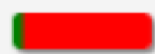


# EM Ingest Features

- Editor Main Menu Folders
  - “New Ingested Submissions”
  - “Ingested Submissions Returned to Publication”
  - “Revised Ingested Submissions”

## Editor 'To-Do' List

My Pending Assignments (113)



Transferred Submissions (59)

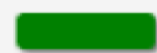
Ingested Submissions (66)



New Ingested Submissions (43)



Ingested Submissions Returned to Publication (6)



Revised Ingested Submissions (17)

# EM Ingest Features

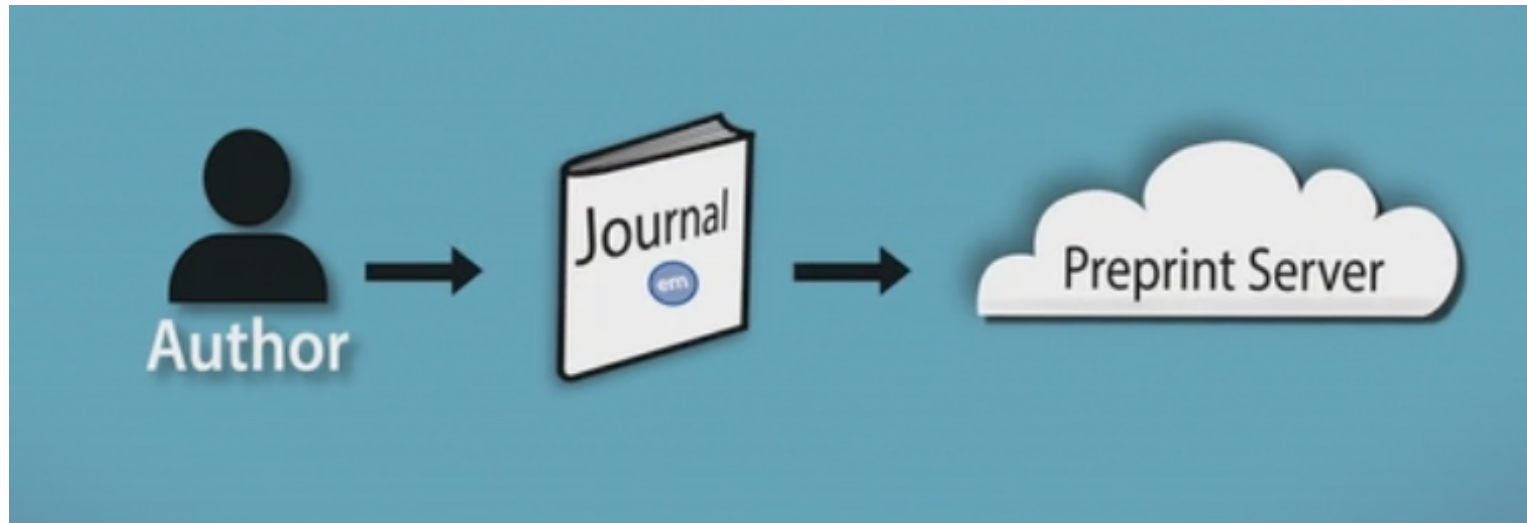
- Success / Failure Notifications
  - Subject line includes EM Journal Code
  - Email body include detail about failure conditions
  - Separate “Warning” email is sent if ingest was successful but warnings were triggered, e.g. Additional Manuscript Details do not match AMD description in EM
  - Notifications can be sent via API as well as email



# EM Ingest Features

- Metadata Ingest
  - Submission Item Types are specified in JATS XML and can be ingested for each Submission Item
  - Responses to Custom Questions
    - Corresponding Author's Submission and Author Questionnaire
    - Co-Author Responses are not ingested





## EM2PPS – EM to Preprint Server

Using a Task to Transfer Metadata and Files to Preprint Servers





# Author's View

- Create a Custom Question
- Ask Authors if they would like to have the manuscript automatically posted to a Preprint Server

The screenshot displays a progress bar at the top with seven steps: Article Type Selection, Attach Files, General Information, Review Preferences, Additional Information, Comments, and Manuscript Data. The first four steps are marked with green checkmarks, while the fifth step, 'Additional Information', is marked with a blue downward arrow, indicating the current step. Below the progress bar, there is a blue header for a 'Questionnaire' section. To the left of the questionnaire, there is a prompt: 'Please respond to the presented questions/statements.' The questionnaire text asks: 'Once you approve your submission, would you like your manuscript to be automatically posted to the preprint server bioRxiv? Do you consent to automatic posting following submission?' Below this text is a dropdown menu with the following options: 'Please select a response', 'Yes, automatically post', and 'No, do not post'. A mouse cursor is pointing at the 'Yes, automatically post' option. In the top right corner of the questionnaire area, there is a link labeled 'Insert Special Character'. In the bottom right corner of the entire image, there is a stylized black logo resembling a large letter 'A' with three curved lines extending from its base.

# Editor – View Response to Custom Question

## New Submissions Requiring Assignment - Sally Editor, PhD

**Contents:** These are the new submissions that require an Editor Assignment. Use the up/down arrows to change the sort order.

Page: 1 of 1 (12 total submissions)

1

25 results per page.


Action	Manuscript Number	Article Type	Article Title	Author Name	Initial Date Submitted	Current Status	Status Date
<a href="#">View Submission</a> <a href="#">Duplicate Submission Check (...)</a> <a href="#">Details</a>   <a href="#">Initiate Discussion</a> <a href="#">History</a> <a href="#">Submit Early Decision</a> <a href="#">File Inventory</a> <a href="#">Edit Submission</a> <a href="#">Send Back to Author</a> <a href="#">Remove Submission</a> <a href="#">Classifications</a> <a href="#">Assign Editor</a> <a href="#">Set Final Disposition</a> <a href="#">Assign Editorial Task</a> <a href="#">Initiate Production</a> <a href="#">Similar Articles in MEDLINE</a> <a href="#">Send E-mail</a> <a href="#">Linked Submissions</a>	SallyDev151-2019-2	Original Study	FEMUG 2019	Buzz Lightyear Aldrin PhD 	Jan 3 2019	Submitted to Journal	Jan 3 2019 12:26PM

Details  



# Push Manuscript to Preprint Server via Workflow Task

- The Editor clicks “Assign Editorial Task”

Action	Manuscript Number	Article Type	Article Title	Author Name	Initial Date Submitted
<ul style="list-style-type: none"><li>View Submission</li><li>Duplicate Submission Check (...)</li><li>Details ▾ (P)</li><li>Initiate Discussion</li><li>History</li><li>Submit Early Decision</li><li>File Inventory</li><li>Edit Submission</li><li>Send Back to Author</li><li>Remove Submission</li><li>Classifications</li><li>Assign Editor</li><li>Set Final Disposition</li><li><u>Assign Editorial Task</u></li><li>Initiate Production</li><li>Similar Articles in MEDLINE</li><li>Send E-mail</li><li>Linked Submissions</li></ul>	Dev151-2019-2	Original Study	EEMUG 2019	Buzz Lightyear Aldrin PhD 	Jan 3 2019 12:26PM

# Assign Preprint Task

- The Editor selects “Post to Preprint Server” Task

**Assign Task For Manuscript Number: SallyDev151-2019-2, DOI: Unassigned  
Buzz Lightyear Aldrin (UNITED STATES): "EEMUG 2019"**

Select the task you wish to assign. After the task is selected, a list of people who can be assigned to the task will appear.

**Task:**

Please Choose a Task  
Post to Preprint Server  
Author Questions for Preprint Server

Cancel

Proceed with Selected Task



# Preprint Task is Assigned

- Task automatically transmits source files and metadata to preprint server
- MECA Package Includes:
  - Article XML file
  - Transfer XML file
  - Manifest XML file
  - Review XML file \*
  - Source files



\* Review.xml is optional and may not be available when posting to a preprint server



# Assign Author Task

- Select “Author Questions for Preprint Server” Task
- Collect further information from author pertinent to preprint server

**Assign Task For Manuscript Number: SallyDev151-2019-2, DOI: Sally/SallyDev151-2019-2.1  
Buzz Lightyear Aldrin (UNITED STATES): "EEMUG 2019"**

Select the task you wish to assign. After the task is selected, a list of people who can be assigned to the task will appear.

Task:

## TASK HISTORY

Task	Date Task Assigned	Date Task Due	Assigned To	Assigned By	Task Status	Date Task Closed	Closed By	Assigned Task Files
Post to Preprint Server <i>(Assigned in Task Manager)</i>	Jan 3 2019 12:40PM	Jan 3 2019 11:59PM	Buzz Lightyear Aldrin, PhD	Sally Editor, PhD	Completed	Jan 3 2019 12:40PM	Sally Editor, PhD	<a href="#">Assignment Files</a>

Cancel

Proceed with Selected Task



# Author Clicks “Submit Task”

## Current Task Assignments for Buzz Lightyear Aldrin, PhD

Page: 1 of 1 (1 total tasks)

Display 10 results per page.

Action ▲	Task ▲▼	Date Task Assigned ▲▼	Date Task Due ▲▼	Days Until Due ▲▼	Manuscript Number ▲▼	DOI ▲▼	Article Title ▲▼	Assigned By ▲▼
<a href="#">View Assignment Letter</a> <a href="#">Assignment Files</a> <a href="#">Submit Task</a> <a href="#">Correspondence</a> <a href="#">Send E-mail</a>	Author Questions for Preprint Server	Jan 3 2019 12:42PM	Jan 3 2019 11:59PM	Due Today	SallyDev151-2019-2	Sally/SallyDev151-2019-2.1	EEMUG 2019	Sally Editor

Page: 1 of 1 (1 total tasks)

Display 10 results per page.



# Author Completes Task Questionnaire

**Submit Task for Manuscript Number: SallyDev151-2019-2, DOI:  
Sally/SallyDev151-2019-2.1  
Buzz Lightyear Aldrin (UNITED STATES): "EEMUG 2019"**

Task: Author Questions for Preprint Server  
Due Date: Jan 03 2019 11:59PM

Cancel

Upload File

Submit Task

[Insert Special Character](#)

Do you accept the terms of the [Copyright Transfer Agreement](#)?

Yes, I agree to the terms and con ▼

Would you like to purchase author reprints of your article?

Yes ▼

How many reprints would you like to purchase?

10





# Editorial Task Visibility




Editorial Proposal Menu **Tasks**

**Managing Editor Tasks Menu**

**Search**  
[Search Submissions](#) | [Search People](#)

**To-Do List**  
[Submission Tasks Assigned to Me](#) (3)  
[Schedule Group Tasks Assigned to Me](#) (0)  
['At-Risk' Submissions](#) (115)

**Overview**  
[View All Submissions in Task Manager](#) (2)  
[Production Initiated - No Tasks Assigned](#) (34)

Action	Manuscript Number	Author Name	Article Title	Article Type	Initial Date Submitted	Date Task Manager Initiated
<a href="#">View Submission</a> <a href="#">Assign Editorial Task</a> <a href="#">End Task Manager</a> <a href="#">Initiate Production</a> <a href="#">Task Manager Details</a>   <a href="#">History</a> <a href="#">File Inventory</a> <a href="#">Edit Submission</a> <a href="#">Similar Articles in MEDLINE</a> <a href="#">Send E-mail</a> <a href="#">Linked Submissions</a>	SallyDev151-2019-2	Buzz Lightyear Aldrin, PhD  (UNITED STATES)	EEMUG 2019	Original Study	Jan 03 2019 12:26PM	Jan 03 2019 12:40PM

# Task Manager Details

## Production Tasks

**Description:** Post to Preprint Server (*Assigned in Task Manager*)  
**Production Task Status:** Completed  
**Assigned To:** Buzz Lightyear Aldrin, PhD ▾ ! [Proxy]  
**Date Assigned:** Jan 3 2019 12:40PM  
**Date Task Due:** Jan 3 2019 11:59PM  
**Completed** Jan 3 2019 12:40PM

**Description:** Author Questions for Preprint Server (*Assigned in Task Manager*)  
**Production Task Status:** Completed  
**Assigned To:** Buzz Lightyear Aldrin, PhD ▾ ! [Proxy]  
**Date Assigned:** Jan 3 2019 12:42PM  
**Date Task Due:** Jan 3 2019 11:59PM  
**Completed** Jan 3 2019 12:45PM

☐ **Author Questions for Preprint Server Questionnaire Responses (Buzz Lightyear Aldrin, Corresponding Author)**

Do you accept the terms of the [Copyright Transfer Agreement](#)?

Yes, I agree to the terms and conditions.

Would you like to purchase author reprints of your article?

Yes

↳ How many reprints would you like to purchase?

10



# Search Submissions using Custom Submission Flag

## Search submissions selection criteria

Create a new Search definition or choose an existing Search definition to Run, Edit, or Remove. You may refine your criteria further on the [Advanced Criteria](#)

Search Definition:

[Help with Searching](#)

[Insert Special Character](#)

[Value Options](#)

[Advanced Criteria](#)

(	Criterion	Is/Is not	Selector	Value	)
<input type="text"/>	<input type="text" value="Submission Flag Name"/>	<input type="text" value="is"/>	<input type="text" value="Equal To"/>	<input type="text" value="Post to bioRxiv"/>	<input type="text"/>
<input type="button" value="Add"/>					



# Search Submissions using Custom Submission Flag

Quicklinks Collapse

## Search Submissions - Search Results

Submission Flag Name is equal to 'Post to bioRxiv'

Page: 1 of 1 (2 total submissions) 1 100 results per page.

Action	Manuscript Number	DOI	Author Name	Article Title	Article Type
<a href="#">Action Links</a> P (60%)	SallyDev151-2018-10005	Sally/SallyDev151-2018-10005.1	Buzz Lightyear Aldrin	EEMUG 2018	Original Study
<a href="#">Action Links</a> P (80%)	SallyDev151-2019-2	Sally/SallyDev151-2019-2.1	Buzz Lightyear Aldrin	EEMUG 2019	Original Study





# Configuration

PolicyManager | RoleManager



# PolicyManager

- Create Custom Question and Add Flag


**Question text:**  
Once you approve your submission, would you like your manuscript to be automatically posted to the preprint server bioRxiv? Do you consent to automatic posting following submission?

**Instructions for Author Response:**

"Text" and "Notes" responses can contain any mix of characters and numbers. A "Date" response must be entered in a mm/dd/yyyy format. "Integer" and "Decimal" responses can contain only a number or decimal point. A "List" response type requires the Author to select from the predefined response values.

**Response Type:** List    **List Type:** Drop-down list (Allows for a null or single value selection)

When configuring the default value, please note that only the value "Please select a response" will force the author to select another answer. Any other value will count as a valid response.

Display Order	Default Value	Value	Description	Flag
	<input checked="" type="radio"/>	Please select a response		Associate with Flag
<input type="text" value="1"/>	<input type="radio"/>	Yes, automatically post		 Remove Flag    Hide
<input type="text" value="2"/>	<input type="radio"/>	No, do not post		Associate with Flag    Hide
<input type="text" value="3"/>	<input type="radio"/>	<input type="text"/>	<input type="text"/>	



# PolicyManager

- Enable Task Manager Workflow per Article Type

## Production Tracking Parameters:

Use Task Manager Workflow:

Preprint Deposit

Use Submission Production Workflow:

All Submission Production Tasks

Automatically set the Final Disposition to 'Accept' when the Author is notified of the selected Decisions:

Accept



# PolicyManager

- Add or Edit “Post to Preprint Server” Submission Production Task
- Select “MECA Manuscript Export” method

**FTP Options**

**Submission Production Task Transmittal Method:**

Secure FTP Server address  
(e.g. sftp://ftp.ariessys.com[:21]):

Username

Password

Subdirectory:

Email Address (for transmittal notification):

**Submission Production Task Import Profile:**

Email Address (for import notification):

MECA Manuscript Export  
None  
General Submission Production Task Export  
Aries Export  
**MECA Manuscript Export**  
NIHMS-PubMed Central Deposit  
NLM Production Task Export  
NLM 3.0 Production Task Export  
NLM 3.0 DTD v2 Export  
Highwire PAP Export  
JATS 1.0A Production Task Export  
PRE Transmittal Production Task Export  
JATS 1.1A Production Task Export  
JATS 1.1A (Aries Custom) Production Task Export  
BITS 2.0 Production Task Export  
JATS 1.2A Production Task Export  
JATS 1.2A (Aries Custom) Production Task Export  
None

XML file is required

Select Fields for Import

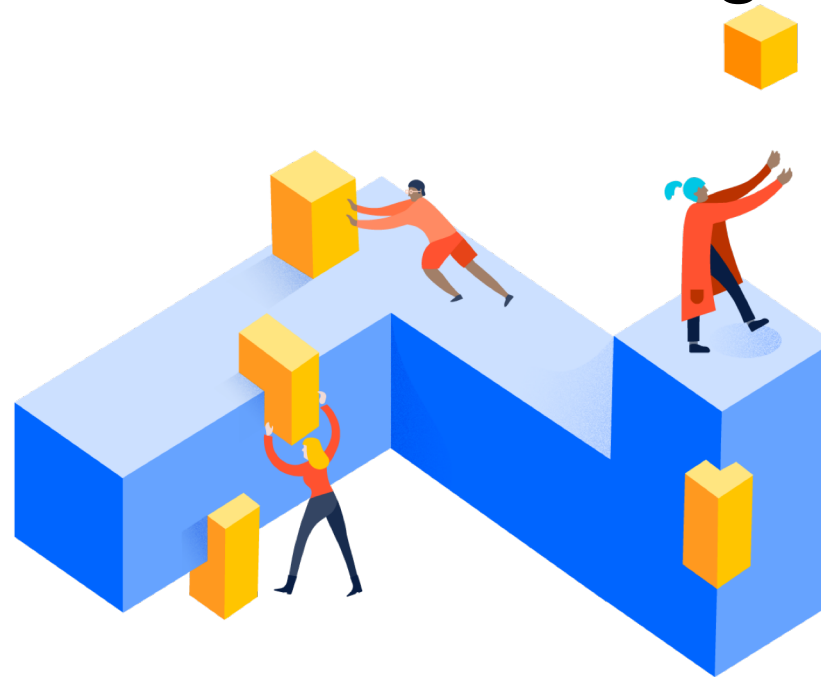
ent Files





# RoleManager

- “Receive New Submissions in ‘New Submissions Requiring Assignment’ Folder”
- “View Submission Flags”
- “Assign Editorial Tasks in Task Manager”



**Gregg Gordon – Managing Director, SSRN**

**Michael Parsons – Director Product Management, SSRN**

**SSRN**

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